

08/24/01
Jc812 U.S. PTO

08-27-01

Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0032
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A/
JC9709 U.S. PRO
09/939087
08/24/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	patent5_LA.doc
First Inventor	Alex Reddy Freeman
Title	Novel Micro Array for High Throughput Screen
Express Mail Label No.	ET 026767802 US [Enter Exp. Mail label No.]

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 21]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation _____ Divisional _____ Continuation-in-part (CIP) _____

of prior application No. _____

Prior application information

Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor Correspondence address below

Name	ALEX FREEMAN		
Address	3733 LOWREY WAY		
City	PLANO	State	TX
Country	USA	Telephone	972 612 2986
Telephone	972 612 2986	Zip Code	75025
Fax	972 867 1750		

Name (Print/Type)	Alex Reddy Freeman	Registration No. (Attorney/Agent)
Signature		
	Date 08/24/2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 813.00)

Complete if Known

Application Number	TBD
Filing Date	08/24/2001
First Named Inventor	Alex Reddy Freeman
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	patent5_LA.doc

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)		(\$ 355.00)	

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	62	-20** = 42	x 9.00 = 378.00
Independent Claims	5	- 3** = 2	x 40.00 = 80.00
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 458.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

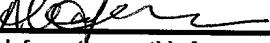
3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for ex parte reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 390	216 195	Extension for reply within second month	0.00
117 890	217 445	Extension for reply within third month	0.00
118 1,390	218 695	Extension for reply within fourth month	0.00
128 1,890	228 945	Extension for reply within fifth month	0.00
119 310	219 155	Notice of Appeal	0.00
120 310	220 155	Filing a brief in support of an appeal	0.00
121 270	221 135	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,240	241 620	Petition to revive - unintentional	0.00
142 1,240	242 620	Utility issue fee (or reissue)	0.00
143 440	243 220	Design issue fee	0.00
144 600	244 300	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	0.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	0.00
126 180	126 180	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179 710	279 355	Request for Continued Examination (RCE)	0.00
169 900	169 900	Request for expedited examination of a design application	0.00
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY

Name (Print/Type)	Alex Reddy Freeman	Registration No. (Attorney/Agent)		Complete (if applicable)
Signature				Telephone 972-612-2986
				Date 08/24/2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Alex Reddy Freeman

Application No.: TBD
Filed: 08/24/2001

Group No.: TBD
Examiner: TBD

For: NOVEL MICRO ARRAY FOR HIGH THROUGHOUT SCREENING

**Director of the United States
Patent and Trademark Office
Washington, D.C. 20231**

EXPRESS MAIL CERTIFICATE

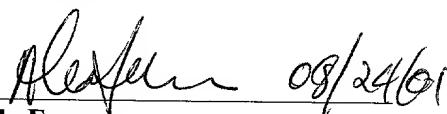
"Express Mail" label number **ET 006767802 US**
Date of Deposit 08/24/2001

I hereby state that the following *attached* paper or fee

Utility Patent Application Transmittal
Fee Transmittal
Specification (21 pages)
Drawings (8 pages)
Declaration and Power of Attorney (3 pages)
Assignment (2 pages)
Assignment Recordation Cover Sheet (3 pages)
Check No. 216 for \$813.00 (Filing fee for Utility Application)
Check No. 217 for \$40.00 (Recordation of Assignment)
Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Director of the United States Patent and Trademark Office, Washington, D.C. 20231.

Alex Reddy Freeman


Alex Reddy Freeman

(Express Mail Certificate-Page 1 of 1)